

## Affine GIT

In this talk, we will define several notions of quotients for affine varieties and study how they are related to each other. Here, the group  $G$  will always be an affine algebraic group.

**Definition 1.** Let  $G$  be an affine algebraic group acting on a variety  $X$ . A categorical quotient is a variety  $Y$  and a  $G$ -invariant morphism  $\pi : X \rightarrow Y$  satisfying the following universal property. For any variety  $Z$ , and for any  $G$ -invariant morphism  $f : X \rightarrow Z$ ,  $f$  factors uniquely through  $\pi$ :  $f : X \xrightarrow{\pi} Y \rightarrow Z$ .

In this case, we denote  $Y$  by  $X//G$ .

This definition is large, but it is difficult to compute a quotient. The second notion of quotient will be equivalent if everything is affine and allows us to compute quotients.

**Definition 2.** Let  $G$  be a reductive group acting on an affine variety  $X$ . The affine GIT quotient, denoted  $X//G$  is the image of the morphism  $\pi : X \rightarrow \mathbb{C}^n, x \mapsto (f_1(x), \dots, f_n(x))$ , for  $f_1, \dots, f_n$  generators of  $\mathbb{C}[X]^G$ .

The surjective map  $\pi : X \rightarrow X//G$  corresponds to the inclusion  $\mathbb{C}[X]^G \subseteq \mathbb{C}[X]$ . Equivalently,  $X//G = \text{Spec}(\mathbb{C}[X]^G)$ .

**Lemma 3.** *The image  $X//G$  is closed and independent of the generators  $f_1, \dots, f_n \in \mathbb{C}[X]^G$ .*

**Definition 4.** The affine GIT quotient is a categorical quotient.

Moreover, if  $G$  is reductive, and the categorical quotient is affine, then it is an affine GIT quotient.

**Proposition 5.** *Let  $G$  be a reductive group and  $X$  be an affine variety such that  $X//G$  is affine. Then:*

1. *Let  $Z \subseteq X$  be a  $G$ -invariant closed subspace. Then,  $f : Z//G \rightarrow X//G$  is a closed immersion (i.e.  $f^\# : \mathbb{C}[X]^G \rightarrow \mathbb{C}[Z]^G$  is surjective).*
2. *Let  $Z, Z' \subseteq X$  be  $G$ -invariant closed subspaces. Then,  $\pi(Z \cap Z') = \pi(Z) \cap \pi(Z')$ .*
3. *Each fiber of  $\pi$  contains a unique  $G$ -orbit.*
4. *If  $X$  is irreducible/normal, then so is  $X//G$ .*

**Example 6.** • Let  $\mathbb{C}^*$  acts on  $(\mathbb{C})^n$  by  $(t, (x_1, \dots, x_n)) \mapsto (tx_1, \dots, tx_n)$ . Then  $\mathbb{C}^*$  acts on the coordinate ring  $\mathbb{C}[x_1, \dots, x_n]$  by  $(t, f(x_1, \dots, x_n)) \mapsto f(t^{-1}x_1, \dots, t^{-1}x_n)$ . The invariant elements are the constant elements:  $\mathbb{C}[x_1, \dots, x_n]^G = \mathbb{C}$ . The categorical quotient is just a point  $(\mathbb{C})^n//\mathbb{C}^* = \{0\}$ .

• Let  $\mathbb{C}^*$  acts on  $(\mathbb{C})^2$  by  $(t, (x_1, x_2)) \mapsto (tx_1, t^{-1}x_2)$ . Similarly,  $\mathbb{C}^*$  acts on the coordinate ring  $\mathbb{C}[x_1, x_2]$  by  $(t, f(x_1, x_2)) \mapsto f(t^{-1}x_1, tx_2)$ . In this case, we have non-constant invariant elements:  $\mathbb{C}[x_1, x_2]^G = \mathbb{C}[x_1x_2] = \mathbb{C}[z]$ . So the categorical quotient is  $\mathbb{C}^2//\mathbb{C}^* = \mathbb{A}^1$ .

In this case, the three orbits  $\{x = 0\}$ ,  $\{y = 0\}$  and  $\{(0, 0)\}$  are sent to the point  $0 \in \mathbb{A}^1$ . However, it doesn't contradict Proposition 5 (3) because only  $\{(0, 0)\}$  is closed.

We see from these examples that the categorical/affine GIT quotient doesn't allow us to compute many quotients. In the first example, we would like to consider the same action on the space  $(\mathbb{C})^n \setminus \{0\}$  to get  $\mathbb{P}^{n-1}$ . However, since  $\mathbb{P}^{n-1}$  is not affine, this will not be covered in this talk but in the one about projective GIT.

**Definition 7.** Let  $G$  be an affine algebraic group acting on a variety  $X$ . A good quotient is a variety  $Y$ , together with a  $G$ -invariant morphism  $\pi : X \rightarrow Y$  such that:

1.  $\pi$  is surjective, and for  $W, W' \subseteq X$  disjoint,  $G$ -invariant closed subspaces,  $\pi(W) \cap \pi(W') = \emptyset$ .
2. A subspace  $U \subseteq Y$  is open if and only if  $\pi^{-1}(U)$  is open.
3. For any open subspace  $U \subseteq Y$ ,  $\pi$  yields an isomorphism  $\mathbb{C}[\pi^{-1}(U)]^G \simeq \mathbb{C}[U]$ .

**Proposition 8.** Let  $G$  be an affine algebraic group acting on a variety  $X$ , and  $Y$  be a good quotient. Then,  $Y$  is a categorical quotient.

Conversely, if  $G$  is reductive,  $X$  is affine, and  $Y$  is an affine categorical quotient, then  $Y$  is a good quotient.

**Proposition 9.** Let  $G$  be an affine algebraic group. A geometric quotient of  $X$  by  $G$  consists of a variety  $Y$  together with a morphism  $f : X \rightarrow Y$  such that:

1.  $\pi$  is surjective, and its fibers are exactly the  $G$ -orbits in  $X$ .
2. A subspace  $U \subseteq Y$  is open if and only if  $\pi^{-1}(U)$  is open.
3. For any open subspace,  $\pi$  yields an isomorphism  $\mathbb{C}[\pi^{-1}(U)]^G \simeq \mathbb{C}[U]$ .

If it exists, we denote  $Y$  by  $X/G$ .

The geometric quotients are actually the quotients we would like to consider. The problem is that they don't always exist.

**Proposition 10.** If  $Y$  is a geometric quotient, then it is also a good and a categorical quotient.

**Proposition 11.** If  $\pi : X \rightarrow Y$  is a good/geometric quotient, then for every  $U \subseteq Y$  open,  $\varphi|_{\varphi^{-1}(U)} : \varphi^{-1}(U) \rightarrow U$  is also a good/geometric quotient.

Moreover, if  $\pi : X \rightarrow Y$  is  $G$ -invariant, and we have a cover of  $Y$  by open subspaces  $U_i$  such that  $\varphi|_{\varphi^{-1}(U_i)} : \varphi^{-1}(U_i) \rightarrow U_i$ .

**Definition 12.** Let  $G$  be a reductive group acting on an affine variety  $X$  such that  $X//G$  is affine. A point  $x \in X$  is stable if  $G \cdot x$  is closed and  $G_x$  is finite (i.e.  $\dim(G_x) = 0$ ).

We denote the set of stable points of  $X$  by  $X^s$ .

**Proposition 13.** The projection  $\pi(X^s)$  is open in  $X//G$  and  $X^s = \pi^{-1}(\pi(X^s))$ . In particular  $X^s$  is open and  $G$ -invariant. The restriction map  $\pi^s : X^s \rightarrow \pi(X^s)$  is a geometric quotient.

**Example 14.** • Let  $\mathbb{C}^*$  act on  $\mathbb{C}^n$  as in Example 6. This action does not admit a geometric quotient as the only closed orbit is  $\{0\}$ , and  $\{0\}$  belongs to all the orbit closures.

Moreover, there are no stable points as the stabilizer of  $\{0\}$  is infinite, and none of the other orbits are closed.

In this case, we can compute the quotient of  $\mathbb{C}^n \setminus \{0\}$ , under the action of  $\mathbb{C}^*$ , and we will get  $\mathbb{P}^{n-1}$ , as every line is precisely identified to a point.

- Let  $\mathbb{C}^*$  act on  $\mathbb{C}^n$ . The affine GIT quotient is not a geometric quotient as the orbit  $\{x = 0\}$  and  $\{y = 0\}$  are not closed.

The stable points are  $X^s = \mathbb{C}^2 \setminus (\{x = 0\} \cup \{y = 0\})$ . The geometric quotient  $X^s/\mathbb{C}^* = \mathbb{C} \setminus \{0\}$

is given by the map  $X^s \rightarrow \mathbb{C} \setminus \{0\}, (x, y) \mapsto xy$ .

Let  $G = GL_2$  be the group of  $2 \times 2$  invertible matrices acting on the variety of  $2 \times 2$  matrices  $Mat_{2 \times 2}$  by conjugation,  $(G, M) \mapsto GMG^{-1}$ . The orbits are given by the Jordan canonical form

$$\begin{pmatrix} \alpha & 0 \\ 0 & \alpha \end{pmatrix}, \begin{pmatrix} \alpha & 0 \\ 0 & \beta \end{pmatrix}, \begin{pmatrix} \alpha & 1 \\ 0 & \beta \end{pmatrix}.$$

The orbit corresponding to the second type of matrices is closed; the orbit of the first kind is just a point, but the closure of the orbit of the third contains the first type. Moreover, there are no stable points as  $t \cdot id$  is in the stabilizer of all matrices. We don't have a GIT quotient. We can prove that  $\mathbb{C}[x_{11}, x_{12}, x_{21}, x_{22}]^G = \mathbb{C}[tr, det] \subseteq \mathbb{C}[y_1, y_2]$ . The affine GIT quotient is given by the map  $Mat_{2 \times 2} \rightarrow \mathbb{A}^2, M \rightarrow (tr(M), det(M))$ .