



Mukai Lifting of self-dual points in \mathbb{P}^6

RSME's 7th Congress of Young Researchers

MAX PLANCK INSTITUTE
FOR MATHEMATICS
IN THE SCIENCES

Leonie Kayser & Barbara Betti

leokayser.github.io

January 14, 2025



Mathematics > Algebraic Geometry

arXiv:2406.02734 (math)

[Submitted on 4 Jun 2024]

Mukai lifting of self-dual points in \mathbb{P}^6

Barbara Betti, Leonie Kayser

A set of $2n$ points in \mathbb{P}^{n-1} is self-dual if it is invariant under the Gale transform on canonical curves, Petrakiev showed that a general self-dual set of 14 intersection of the Grassmannian $\text{Gr}(2, 6)$ in its Plücker embedding in \mathbb{P}^6 . In this paper we focus on the inverse problem of recovering such a linearly dual set of points. We use numerical homotopy continuation to approach an algorithm in Julia to solve it. Along the way we also implement the forward

Mukai Grassmannians

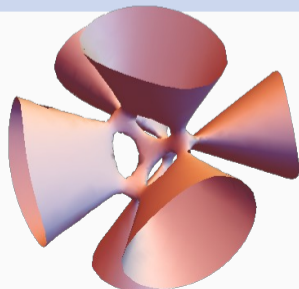
Theorem (Shigeru Mukai (1987))

For a genus $g \geq 6$, a sufficiently general

- ▷ canonical curve ($g \leq 9$),
- ▷ pseudo-polarized K3 surface ($g \leq 10$), or
- ▷ prime Fano 3-fold ($g \leq 10, g = 12$)

is a “linear section” of a homogeneous variety $X_g \subseteq \mathbb{P}V$.

g	V	$X_g \subseteq \mathbb{P}V$	$\dim X_g$	$\dim \mathbb{P}V$
6	$\Lambda^2 \mathbb{C}^5$	$\text{Gr}(2, \mathbb{C}^5)$	6	9
7	$\Lambda^{\text{even}} \mathbb{C}^{10}$	$\text{LG}_+(5, \mathbb{C}^{10})$	10	15
8	$\Lambda^2 \mathbb{C}^6$	$\text{Gr}(2, \mathbb{C}^6)$	8	14
9	$\Lambda^3 \mathbb{C}^6 / \omega \wedge \mathbb{C}^6$	$\text{Gr}_\omega(3, \mathbb{C}^6)$	6	13



Self-dual points

Let $\Gamma \subseteq \mathbb{P}^{n-1}(\mathbb{C})$ be a set of $2n$ non-degenerate points identified with $\Gamma \in \mathbb{C}^{n \times 2n}$. **TFAE:**

1. $\exists \Lambda \in \text{Diag}(2n)$ invertible such that $\Gamma \cdot \Lambda \cdot \Gamma^T = \mathbf{0}$ (fixed under *Gale transform*);
2. Subsets of $2n - 1$ points impose the same number of conditions on quadrics as Γ :

$$I(\Gamma \setminus \gamma)_2 = I(\Gamma)_2 \quad \forall \gamma \in \Gamma;$$

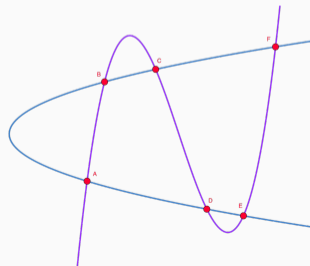
3. $\exists Q \in \text{Sym}(n)$ non-deg. and $\Gamma = \Gamma_1 \dot{\cup} \Gamma_2$ s.t. Γ_1, Γ_2 are orthogonal bases w.r.t. Q :

$$\Gamma_i^T \cdot Q \cdot \Gamma_i \in \text{Diag}(n), \quad i = 1, 2.$$

If Γ fails to impose indep. cond. on quadrics by 1:

4. [Eisenbud & Popescu] The homogeneous coordinate ring $S_\Gamma = \mathbb{C}[\underline{x}]/I(\Gamma)$ is Gorenstein.

\rightsquigarrow Slices of canonical curves are self-dual!



A parametrization of the Moduli space

Let $\mathcal{A}_{n-1} \subseteq (\mathbb{P}^{n-1})^{2n} // \mathrm{SL}_n \times \mathfrak{S}_{2n}$ be the Moduli space of self-dual points

1. All sets of four points in \mathbb{P}^1 are self-dual
 2. Six points in \mathbb{P}^2 are self-dual iff intersection of quadric and cubic
 3. A general set in \mathcal{A}_3 is a complete intersection of three quadric surfaces in \mathbb{P}^3
 4. ... in \mathcal{A}_4 is a section of $X_6 = \mathrm{Gr}(2, \mathbb{C}^5) \subseteq \mathbb{P}^9$ with a quadric and a linear space
 5. ... in \mathcal{A}_5 is a linear section of $X_7 = \mathrm{LG}_+(5, \mathbb{C}^{10}) \subseteq \mathbb{P}^{15}$
 6. ... in \mathcal{A}_6 is a linear section of the Grassmannian $X_8 = \mathrm{Gr}(2, \mathbb{C}^6) \subseteq \mathbb{P}^{14}$
- 1.–4. classical/[Eisenbud & Popescu 2000], 5.–6. [Petrakiev 2006], fails for \mathcal{A}_7

The Mukai lifting (and slicing) problem

$X_8 = \text{Gr}(2, \mathbb{C}^6) \subseteq \mathbb{P}^{14}$, $\text{codim } X_8 = 6$, $\text{deg } X_8 = 14$

- ▷ **Slicing:** Given a linear space $\mathbb{L} \subseteq \mathbb{P}^{14}$, compute the self-dual point configuration

$$\Gamma = \mathbb{L} \cap \text{Gr}(2, \mathbb{C}^6) \subseteq \mathbb{L} \cong \mathbb{P}^6$$

- ▷ **Lifting:** Given a self-dual points $\Gamma \subseteq \mathbb{P}^6$, find a $\mathbb{L} \in \text{Gr}(6, \mathbb{P}^{14})$ and $L: \mathbb{P}^6 \xrightarrow{\sim} \mathbb{L}$

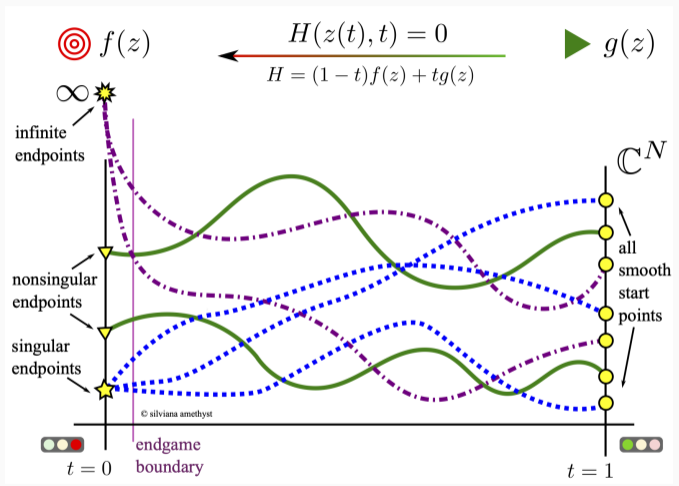
$$\Gamma = L^{-1}(\mathbb{L} \cap \text{Gr}(2, \mathbb{C}^6))$$

- ▷ Numerical or symbolic? Complex or real?
- ▷ Also interesting for other X_g , or for canon. curves, K3 surfaces, Fano 3-folds, ...
- ▷ Computational problem posed by [Geiger, Hashimoto, Sturmfels & Vlad 2022]

That one slide about homotopy continuation

- ▷ **Slicing:** Move linear space through Grassmannian
- ~> track intersection points

- ▷ **Lifting:** Move point configuration through \mathcal{A}_6 (?)
- ~> track some linear space in fiber of “slicing map”



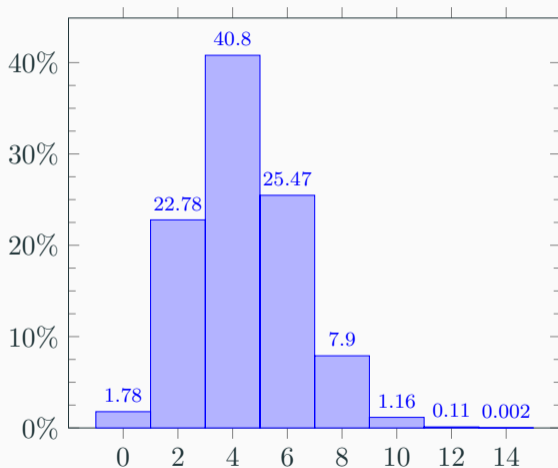
Credit: silviana amethyst

Warm-up: Slicing $X_8 = \text{Gr}(2, \mathbb{k}^6)$

Given $\mathbb{L} = \text{Ker } A \subseteq \mathbb{P}^{14}$, find $\mathbb{L} \cap X_8$

- ▷ Toric degeneration of $\text{Gr}(2, \mathbb{C}^6)$ via SAGBI basis
- 1. Solve for random \mathbb{L}_0 on toric variety (polyhedral start system)
- 2. Track via toric degeneration to X_8
- 3. Track $\mathbb{L}_0 \rightarrow \mathbb{L}$ (straight line homotopy)

Application: Slice $\text{Gr}(2, \mathbb{R}^6) \subseteq \mathbb{P}^{14}(\mathbb{R})$ with 10,000,000 $\mathbb{L} \in \text{Gr}(6, \mathbb{P}^{14}(\mathbb{R}))$ sampled uniformly, count real solutions



Parametrization of \mathcal{A}_n

- ▷ \mathcal{A}_n known to be rational variety [Dolgachev & Ortland]
- ▷ **Orthogonal normal form:** Non-degenerate self-dual points has representation

$$\Gamma = [I_n \mid P], \quad P \in \mathrm{SO}(n, \mathbb{C})$$

- ▷ **Cayley transform:** Let $U = \{ A \in \mathbb{C}^{n \times n} \mid I_n + A \text{ invertible} \}$

$$\mathcal{C}: U \cap \mathrm{Skew}(n) \leftrightarrow U \cap \mathrm{SO}(n), \quad \mathcal{C}(A) = (I_n - A)(I_n + A)^{-1}$$

- ▷ **Skew normal form:** General self-dual points have representation by $S \in \mathrm{Skew}(n)$

$$\Gamma = [I_n + S \mid I_n - S] = \left[\begin{array}{cccc|cccc} 1 & s_1 & \cdots & s_{n-1} & 1 & -s_1 & \cdots & -s_{n-1} \\ -s_1 & 1 & \ddots & \vdots & s_1 & 1 & \ddots & \vdots \\ \vdots & \ddots & \ddots & s_{\binom{n}{2}} & \vdots & \ddots & \ddots & -s_{\binom{n}{2}} \\ -s_{n-1} & \cdots & -s_{\binom{n}{2}} & 1 & s_{n-1} & \cdots & s_{\binom{n}{2}} & 1 \end{array} \right]$$

- ▷ Highly non-unique (5,579,410,636,800 SNFs for \mathbb{P}^6), but *linear* in $S = (s_1, \dots, s_{21})$

A big polynomial system

- ▷ Gen. finite slicing map f

$$\mathbb{L} \mapsto \mathbb{L} \cap \text{Gr}(2, \mathbb{C}^6)$$

- ▷ Lifts to \hat{f} on matrices:

$$L \mapsto L^{-1}(\text{Im}(L) \cap \text{Gr}(2, \mathbb{C}^6))$$

- ▷ General fiber of \hat{f} 36 dim'l

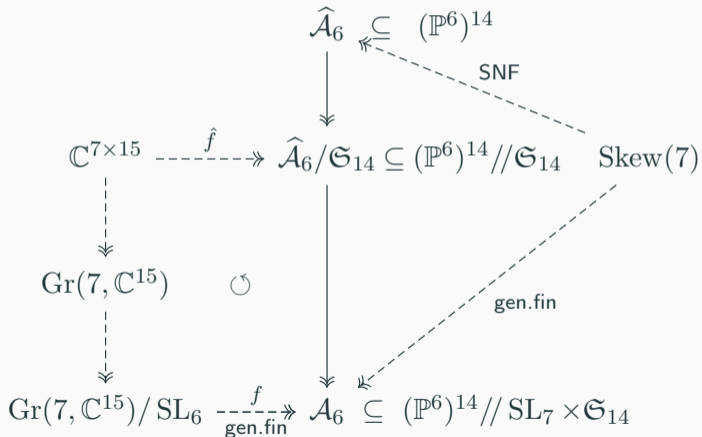
↪ L_a should have 69 free vars

- ▷ Polynomial system in (a, t)

$$\text{plück}_i(L_a(\text{SNF}(S_t)_j)) = 0$$

$$i = 1, \dots, 15 \text{ rel's}$$

$$j = 1, \dots, 14 \text{ pts}$$



The logo for the Julia programming language, featuring the word "julia" in a lowercase, sans-serif font. Above the letters 'i', 'l', and 'a' are four colored circles: a blue circle above 'i', a red circle above 'l', a green circle above the first 'a', and a purple circle above the second 'a'.

julia

Homotopy
Continuation.jl

The logo for OSCAR Symbolic Tools, featuring the word "OSCAR" in a large, bold, sans-serif font. Below it, the words "SYMBOLIC TOOLS" are written in a smaller, orange, sans-serif font.

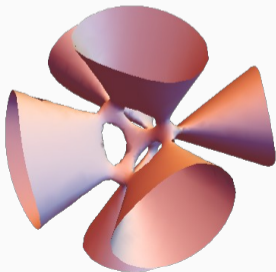
OSCAR
SYMBOLIC TOOLS



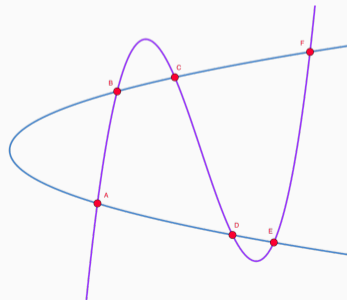
MATHREPO
MATHEMATICAL RESEARCH-DATA
REPOSITORY

What's next?

- ▷ Methods apply to “smaller” Mukai Grassmannians too
- ▷ Improve runtime!
- ▷ Test Petrakiev's birationality conjecture $\mathbb{G}_r(6, \mathbb{P}^{14})/SL_6 \dashrightarrow \mathcal{A}_6$ (ongoing)
- ▷ Lifting real/rational solutions to real/rational linear spaces?
- ▷ Attack Mukai lifting problem for canonical curves?
 - ↪ Lifting of 0-dim'l slices could be stepping stone!



Thank you!
arXiv:2406.02734



▷ Slide 1:

https://en.wikipedia.org/wiki/K3_surface#/media/File:K3_surface.png

▷ Slide 2: Made using GeoGebra <https://www.geogebra.org/graphing>

▷ Slide 5: silviana amethyst https://silviana.org/computer_programs/



Barbara Betti and Leonie Kayser.

Mukai lifting of self-dual points in \mathbb{P}^6 .

2024.



Igor Dolgachev and David Ortland.

Point sets in projective spaces and theta functions.




Number 165 in *Astérisque*. Société mathématique de France, 1988.



David Eisenbud and Sorin Popescu.

The projective geometry of the Gale transform.

Journal of Algebra, 230(1):127–173, 2000.

-  Alheydis Geiger, Sachi Hashimoto, Bernd Sturmfels, and Raluca Vlad.
Self-dual matroids from canonical curves.
Experimental Mathematics, 2022.
-  Shigeru Mukai.
Curves, K3 surfaces and Fano 3-folds of genus ≤ 10 .
In *Algebraic Geometry and Commutative Algebra*, pages 357–377. Academic Press, 1988.
-  Ivan Petrakiev.
On self-associated sets of points in small projective spaces.
Communications in Algebra, 37(2):397–405, 2009.